10/594011 IAP9 Rec'd PCT/PTO 25 SEP 2006

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q97191

Ikuo YAMAMOTO, et al

Appln. No.: National Stage of PCT/JP2005/005495

Confirmation No.: Unknown Group Art Unit: Unknown

Filed: September 25, 2006 Examiner: Unknown

For: SURFACE TREATING AGENT, FLUORINE-CONTAINING MONOMER AND

FLUORINE-CONTAINING POLYMER

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

10/594011 IAP9 Rec'd PCT/PTO 25 SEP 2006

INFORMATION DISCLOSURE STATEMENT

Appln. No.: National Stage of PCT/JP2005/005495

Attorney Docket No. Q97191

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (International Search Report for PCT/JP2005/005495 dated July 5, 2005), together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

Also, in compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants advise that EP 0 333 083 A2, EP 0 294 648 A2, and EP 0 337 474 A2 are English language equivalent of JP 1-315471 A, JP 64-56711 A and, JP 2-28270 A, respectively, and that EP 0 247 489 A2 corresponds to JP 63-99285 A.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

2

10/594011 IAP9 Rec'd PCT/PTO 25 SEP 2006

INFORMATION DISCLOSURE STATEMENT Appln. No.: National Stage of PCT/JP2005/005495 Attorney Docket No. Q97191

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

Registration No. 33,276

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WASHINGTON OFFICE 23373
CUSTOMER NUMBER

Date: September 25, 2006

MODIFIED PTO/SB/08 A & B (08-03)

Substitute for Form 1449 A & B/PTO National Stage of PCT/JP2005/005495 **Application Number** INFORMATION DISCLOSURE Confirmation Number Unknown STATEMENT BY APPLICANT September 25, 2006 Filing Date First Named Inventor Ikuo YAMAMOTO (use as many sheets as necessary) Art Unit Unknown **Examiner Name** Sheet of

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Attorney Docket Number

Q97191

	U.S. PATENT DOCUMENTS							
Examiner	Cite	Document I	Yumber	D 111 11 D 1				
Initials*	No.1	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
		US 3,655,752		04/11/1972	CHRISTIAN SCRIVER ROUNDESTVEDT, JR.			
		US 3,773,826		11/20/1973	CHRISTIAN SCRIVER ROUNDESTVEDT, JR.			
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		US 5,439,998	Α	08/08/1995	MARIE-JOSE LINA, ET AL			
		US						

			H	FOREIGN PA	TENT DOCUM	ENTS	
Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		JP	1-315471	Α	12/20/1989	DAIKIN INDUSTRIES, LTD.	Abstract
		EP	0 333 083	A2	09/20/1989	DAIKIN INDUSTRIES, LIMITED	1
		JР	64-56711	Α	03/03/1989	DAIKIN INDUSTRIES, LTD.	
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		JP	63-99285	A	04/30/1988	DAIKIN IND. LTD.	Abstract
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	NON PATENT LITERATURE DOCUMENTS	
 Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
	TAKASHIGE MAEDAWA, "FLUORINE-CONTAINING SURFACE MODIFYING AGENTS", FINE CHEMICAL, VOL. 23, NO. 6, 1994, PAGES 12-25	Abstract
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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70/594011 IAP9 Rec'd PCT/PTO 25 SEP 2000 PTO/SB/08 A & B (08-03)

				Complete if Known		
Substitute for Form 14				Application Number	National Stage of PCT/JP2005/005495	
	RMATION			Confirmation Number	Unknown	
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				Examiner Name		
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	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document	Number	Dublication Date				
		Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
		US						
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	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or				
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶			
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		US. ENVIRONMENTAL PROTECTION AGENCY, "EPA INTENSIFIES SCIENTIFIC INVESTIGATION OF A CHEMICAL PROCESSING AID", EPA ENVIRONMENTAL NEWS, Monday April 14, 2003., 3 PAGES, http://www.epa.gov/opptintr/pfoa/pfoaprs.pdf	
		US. ENVIRONMENTAL PROTECTION AGENCY, EPA OPPT FACT SHEET, April 14, 2003., hppt://www.epa.gov/opptintr/pfoa/pfoafacts.pdf	
			

Examiner Signature	Date Considered	

[Page 2 of 2]

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